

Appendix 2: Updated (MTR) logical framework: Progress against objectives, outcomes and outputs

Results Hierarchy	Indicators					Means of verification			Assumptions
	Name	Baseline	YR 1	MTR	End target	Source	Frequency	Responsibility	
Goal: Reducing poverty in hill districts of Uttarakhand	-Average number of assets of 126,000 households	0	0		significant	MIS report RIMS survey a/ Annual outcome survey	Annual Annual Annual	M&E unit under CPC	Relatively stable economic conditions exists and continued government support for the programme
	-Prevalence of child malnutrition	39%		39%	33%	Impact assessment survey	Endline		
	-% of households reporting food shortages	13%	13%	5%	2%				
Development Objective									
Rural households enabled to take up sustainable livelihood opportunities integrated with the wider economy	-Households reporting incomes from sale of farm and non-farm produce (%)	46%	46%	50%	60%	MIS reports Annual reports Mission report Thematic surveys	Quarterly Annual Annual Random	M&E unit M&E unit IFAD CPCU	Households respond to opportunities for improved livelihoods and access to market and credit
	-Incremental household incomes from project interventions (Rs/hh) over baseline	0%	0%	Rs 20,000	Rs 47,000				
COMPONENT OUTCOMES									
Food security & scaling up: 106,000 households from hill communities benefited from increased crop and livestock production	-farmers reporting increased productivity	0%	10%	20%	60%	MIS reports Annual reports Mission report	Quarterly Annual Annual	M&E unit M&E unit IFAD	Weather patterns do not change to the extent that seriously hinders farming; Food prices do not fall to the extent that makes local production uneconomic; Technical agencies are able to perform their tasks
Sustainability of community organizations: Sustainable community institutions	-Federations and LCs livelihood collectives operating successfully	30%	30%	35%	60%	MIS reports Annual reports Mission report	Quarterly Annual Annual	M&E unit M&E unit IFAD	Communication and road network are developed; improved technologies for hills agriculture are available and profitable;
Market access: Improved market access for rural households	-increases in farm-gate prices over baseline	0%	0%	50%	100%	MIS reports Annual reports Mission report Thematic studies	Quarterly Annual Annual Random	M&E unit M&E unit IFAD CPCU	Markets for off-season vegetables and other products not adversely affected by competition from imports or other areas
Participatory watershed development: 20,000 households with improved access to water and become less vulnerable to erosion	Fallow lands brought under cultivation;	0 ha	0 ha	0 ha	200 ha	MIS report AOS report WMD report	Quarterly Annual Annual Annual	M&E unit CPC WMD IFAD	Treated watershed are not damaged by erosion originating in reserve forests
	Increased area under rainfed crop and production	0 ha	0 ha	1,520 ha	3,800 ha	Missions Thematic study	Annual Annual Random	CPCU	

Results Hierarchy	Indicators					Means of verification			Assumptions
	Name	Baseline	YR 1	MTR	End target	Source	Frequency	Responsibility	
Livelihoods Financing: Improved access to bank finance to 60150 households	Households accessing loans from financial institutions	0%	0%	20%	50%	MIS report AOS report Annual report Missions Thematic study	Quarterly Annual Annual Annual Random	M&E unit CPC UPASAC IFAD CPCU	Banks willing to expand lending in rural areas; LC members overcome the viability challenge in taking up the role of BF/BC
COMPONENT OUTPUTS									
Component 1: Food security & scaling up	-PG & VPG established	0	0	4,750	8,800	MIS report AOS report	Quarterly Annual	M&E unit CPC	Markets for off-season vegetables & other products not adversely affected by competition from imports from other areas
	-Federations, LCs supported	0	54	126	214	Annual report Missions	Annual Annual	CPC IFAD	
	-Federations & LC undertaking inputs and output aggregations	0	54	126	150	Thematic study	Random	CPCU	
	-collection centres pooling farm produce	0	15	15	15				
	-action research subprojects initiated	0	15	15	15				
	-10,000 youth completed vocational training	0	0	688	9,988				
	-% women participation	0	0	80%	80%				
Component-2: Watershed management	-22 micro-watershed planned and implemented	0	0	22	22	MIS report AOS report	Quarterly Annual	M&E unit CPC	GPs responsive to project and allocate required resources
	-19,650 ha treated	0	0	8,930	19,650	Annual report Missions	Annual Annual	WMD IFAD	
Component 3: Livelihoods financing	-60,150 households facilitated for availing institutional finance	0	0	12,000	60,150	MIS report AOS report Annual report Missions	Quarterly Annual Annual Annual	M&E unit CPC UPASAC IFAD	LC members have capacity to act as BC or BF